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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Childress et al.**

Serial No.: **10/821,053**

Filed: **April 8, 2004**

For: **Method to Identify Transactions  
and Manage the Capacity to Support  
the Transaction**

§ Group Art Unit: **2661**

§

§ Examiner: **Unknown**

§

§ Attorney Docket No.: **AUS920031003US1**

§

Certificate of Mailing Under 37 C.F.R. § 1.8(a)

I hereby certify this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

Dec 14 2005  
By: Louise Fay  
Louise Fay

**TRANSMITTAL DOCUMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

ENCLOSED HEREWITH:

- Copy of International Search Report;
- Supplemental Information Disclosure Statement;
- Form PTO-1449;
- References **AACAD**; and
- Our return postcard.

No fees are believed to be necessary. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to Deposit Account No. 09-0447.

Respectfully submitted,

Betty Formby

Betty Formby  
Registration No. 36,536  
Agent for Applicants

Duke W. Yee  
Registration No. 34,285  
Attorney for Applicants

**YEE & ASSOCIATES, P.C.**  
P.O. Box 802333  
Dallas, Texas 75380  
(972) 385-8777



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

**Childress et al.**

Serial No: **10/821,053**

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Title: **Method to Identify Transactions and  
Manage the Capacity to Support the  
Transaction**

Group Art Unit: **2661**

Intellectual Property  
Law Department  
International Business  
Machines Corporation  
11400 Burnet Road  
Austin, Texas 78758

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. 1.56.

While this Supplemental Information Disclosure Statement may be "material" pursuant to 37 C.F.R. 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. 1.97(g) the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (b) exists.

The patents and/or publications submitted herewith are set forth on the attached form, PTO-1449.

The undersigned certifies that this IDS is being submitted:

- a) within three months of the filing of a national application
- b) within three months of entering national stage
- c) before mailing of a first Office Action on the merits; or
- d) before the mailing of a first Office Action after filing of a request for Continued Examination.

Each item of information cited in this Supplemental Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Supplemental Information Disclosure Statement.

In the event a fee is required in connection with the enclosed Supplemental Information Disclosure Statement, the Commissioner of Patents and Trademarks is authorized to charge Deposit Account No. 09-0447 for the necessary amount.

Respectfully submitted,



Betty Formby  
Registration No. 36,536  
Yee & Associates, P.C.  
P.O. Box 802333  
Dallas, Texas 75380  
Telephone: (972) 385-8777



Substitute for form 1449/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

## Complete if Known

Application Number	10/821,053
Filing Date	April 8, 2004
First Named Inventor	Childress et al.
Examiner Name	Unknown
Art Unit	2661
Attorney Docket No.	AUS920031003US1

Examiner Initials	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
AA	6,912,534 B2	06-28-2005	DeBettencourt et al.	
AB	5,664,106	09-02-1997	Caccavale	

## FOREIGN PATENT DOCUMENTS

Examiner Initial	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
AC	EP 0892531 A2	01-20-1999	Martin	
AD	WO 9710543A	03-20-1997	Sheets et al.	

## NON PATENT LITERATURE

Examiner Initial	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.